·	JC07 Re	CAPCIPIO - 7 DEC 2000
FORM PTO-1390 U.S. DEPARTMENT (REY 11-2009) TRANSMITTAL LETTER TO DESIGNATED/ELECTED		JMYT-252US U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
CONCERNING A FILING	UNDER 35 U.S.C. 371	то ве 4 м 18607
INTERNATIONAL APPLICATION NO. PCT/GB00/02305	INTERNATIONAL FILING DATE 14 June 2000 (14.06.00)	PRIORITY DATE CLAIMED 17 June 1999 (17.06.99)
TITLE OF INVENTION GAS DIFFUSION SUBSTRATE AND	ELECTRODE	
APPLICANT(S) FOR DO/EO/US COOPER, Susan Joy; HARDS, Grahan	n Alan; HOOGERS, Gregor; BALL,	Sarah Caroline; THOMPSETT, David
Applicant herewith submits to the united State	s Designated/Elected Office (DO/EO/US) the	ne following items and other information:
1. Mail This is a FIRST submission of	of items concerning a filing under 35	U.S.C. 371.
2. This is a SECOND or SUBS	EQUENT submission of items conce	rning a filing under 35 U.S.C. 371.
must include items (5), (6), (9)	negin national examination procedure  or and (21) indicated below.  the expiration of 19 months from the process.	es (35 U.S.C. 371(f)). The submission priority date (Article 31).
	pplication as filed (35 U.S.C. 371(c)(	
b.  has been communicate	nired only if not communicated by the d by the International Bureau. upplication was filed in the United Sta	
6. An English language translati	ion of the International Application as	s filed (35 U.S.C. 371(c)(2)).
<ul><li>a.  is attached hereto.</li><li>b.  has been previously su</li></ul>	bmitted under 35 U.S.C. 154(d)(4).	
7. Amendments to the claims of	the International Application under	PCT Article 19 (35 U.S.C. 371(c)(3))
b. have been communicate	quired only if not communicated by the letter of the letter of the letter of the limit for making such will not be made.	
8. An English language translation	n of the amendments to the claims unde	er PCT Article 19 (35 U.S.C. 371(c)(3)).
	ation of the inventor(s) (35 U.S.C. 37	
10. An English language translati PCT Article 36 (35 U.S.C. 37)		Preliminary Examination Report under
Items 11 to 20 below concern documents. An Information Disclosure St	ents(s) or information included: atement under 37 U.S.C. 1.97 and 1.9	98.
12. An assignment document for reco	ording. A separate cover sheet in complia	ance with 37 CFR 3.28 and 3.31 is included.
13. A FIRST preliminary amendr	nent.	
14. A SECOND or SUBSEQUEN	NT preliminary amendment.	
15. A substitute specification.		
16. A change of power of attorne		
		Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.
	nternational application under 35 U.S.C.	
	guage translation of the international app	
20.  Other items or information: Cert	tificate of Mailing by Express; Interna	ational Preliminary Examination Report

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				December 17, 2001 DATE		*

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PATENT

JMYT-252US

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Susan

Susan Joy Cooper et al.

: Art Unit:

Serial No.:

To Be Assigned

: Examiner:

Filed:

Herewith

For:

AND ELECTRODE

GAS DIFFUSION SUBSTRATE

## PRELIMINARY AMENDMENT

Assistant Commissioner for Patents Washington, D.C. 20231
Box PCT

SIR:

Prior to examination, please amend the above-identified application as follows.

# IN THE SPECIFICATION:

Please add the following paragraph at page 1, after the title:

This application is the U.S. national phase application of PCT International Application No. PCT/GB00/02305.

# IN THE CLAIMS:

Please replace claims 3-5, 7-12, 14-15 and 17-25 with the following amended claims; and add claims 26-52 as follows:

- 1 3. (Amended) A gas diffusion substrate according to claim 1
- wherein the first catalyst is one or more noble metals or non-noble metals or
- a combination thereof.

- 4. 1 (Amended) A gas diffusion substrate according to claim 1 wherein the first catalyst is one or more metals selected from the group 2 consisting of platinum, palladium, ruthenium, rhodium, gold, chromium, 3 molybdenum, nickel and manganese or a combination thereof. 4 5. 1 (Amended) A gas diffusion substrate according to claim 1 wherein the electrically non-conducting support is an oxidic support. 2 7. (Amended) A gas diffusion substrate according to claim 1 1 wherein the porous structure is a woven or non-woven fibrous material. 2 1 8. (Amended) A gas diffusion substrate according to claim 1 2 wherein the porous structure is formed from a polymer. 9. (Amended) A gas diffusion substrate according to claim 1 1 wherein the porous structure is a metal mesh. 2 1 10. (Amended) A gas diffusion substrate according to claim 7 wherein the porous structure further comprises a filler material. 2 (Amended) A gas diffusion substrate according to claim 1 1 wherein the first catalytic component is embedded within the porous 2 structure. 3 (Amended) A gas diffusion substrate according to claim 1 1 wherein the first catalytic component is applied to the porous structure as a 2 surface layer. 3 (Amended) A process for the preparation of a gas diffusion 1 14.
- 14. (Amended) A process for the preparation of a gas diffusion substrate according to claim 1, said process comprising in-filling a preformed porous structure with the first catalytic component or applying the first catalytic component to the surface of a pre-formed porous structure.

- 15. (Amended) A gas diffusion electrode comprising an electrically conducting gas diffusion substrate as claimed in claim 1 and a second catalytic component.
- 17. (Amended) A gas diffusion electrode according to claim 15
  wherein the second catalytic component is a precious metal or a transition
  metal as the metal or metal oxide, either unsupported or supported in a
  dispersed form on a carbon support; a carbon or an organic complex, in the
  form of a high surface area finely divided powder or fibre, or a combination
  of thereof.
  - 18. (Amended) A gas diffusion electrode according to claim 17 wherein the second catalytic component is a platinum/ruthenium alloy supported on carbon black or platinum supported on carbon black.
  - 19. (Amended) A membrane electrode assembly comprising a gas diffusion electrode as claimed in claim 15, a second gas diffusion electrode, and a solid polymer membrane.
  - 20. (Amended) A membrane electrode assembly comprising a gas diffusion electrode as claimed in claim 15, a gas diffusion substrate and a solid polymer membrane, wherein an electrocatalyst layer is applied to the side of the membrane facing the gas diffusion substrate.
    - 21. (Amended) A membrane electrode assembly comprising a gas diffusion substrate as claimed in claim 1, a gas diffusion electrode and a solid polymer membrane, wherein an electrocatalyst layer is applied to the side of the membrane facing the gas diffusion substrate.
  - 22. (Amended) A membrane electrode assembly comprising a gas diffusion substrate as claimed in claim 1, a second gas diffusion substrate, and a solid polymer membrane, wherein an electrocatalyst layer is applied to both sides of the solid polymer membrane.

- 1 23. (Amended) A fuel cell comprising a gas diffusion substrate as claimed in claim 1.
- 1 24. (Amended) A fuel cell comprising a gas diffusion electrode as claimed in claim 15.
- 1 25. (Amended) A fuel cell comprising a membrane electrode assembly as claimed in claim 19.

Please add the following new claims:

- 1 26. (Newly Added) A gas diffusion substrate according to claim 8 wherein the porous structure further comprises a filler material.
- 1 27. (Newly Added) A gas diffusion substrate according to claim 9 wherein the porous structure further comprises a filler material.
- 1 28. (Newly Added) A gas diffusion electrode according to claim 16
  2 wherein the second catalytic component is a precious metal or a transition
  3 metal as the metal or metal oxide, either unsupported or supported in a
  4 dispersed form on a carbon support; a carbon or an organic complex, in the
  5 form of a high surface area finely divided powder or fibre, or a combination
  6 of thereof.
- 1 29. (Newly Added) A membrane electrode assembly comprising a 2 gas diffusion electrode as claimed in claim 16, a second gas diffusion 3 electrode, and a solid polymer membrane.
- 1 30. (Newly Added) A membrane electrode assembly comprising a 2 gas diffusion electrode as claimed in claim 17, a second gas diffusion 3 electrode, and a solid polymer membrane.
- 1 31. (Newly Added) A membrane electrode assembly comprising a 2 gas diffusion electrode as claimed in claim 18, a second gas diffusion 3 electrode, and a solid polymer membrane.

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- 32. (Newly Added) A membrane electrode assembly comprising a gas diffusion electrode as claimed in claim 16, a gas diffusion substrate and a solid polymer membrane, wherein an electrocatalyst layer is applied to the side of the membrane facing the gas diffusion substrate.
- 33. (Newly Added) A membrane electrode assembly comprising a gas diffusion electrode as claimed in claim 17, a gas diffusion substrate and a solid polymer membrane, wherein an electrocatalyst layer is applied to the side of the membrane facing the gas diffusion substrate.
- 34. (Newly Added) A membrane electrode assembly comprising a gas diffusion electrode as claimed in claim 18, a gas diffusion substrate and a solid polymer membrane, wherein an electrocatalyst layer is applied to the side of the membrane facing the gas diffusion substrate.
- 1 35. (Newly Added) A fuel cell comprising a gas diffusion substrate 2 as claimed in claim 2.
- 1 36. (Newly Added) A fuel cell comprising a gas diffusion substrate as claimed in claim 3.
- 1 37. (Newly Added) A fuel cell comprising a gas diffusion substrate as claimed in claim 4.
- 1 38. (Newly Added) A fuel cell comprising a gas diffusion substrate 2 as claimed in claim 5.
- 1 39. (Newly Added) A fuel cell comprising a gas diffusion substrate 2 as claimed in claim 6.
- 1 40. (Newly Added) A fuel cell comprising a gas diffusion substrate 2 as claimed in claim 7.
- 1 41. (Newly Added) A fuel cell comprising a gas diffusion substrate 2 as claimed in claim 8.

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- 1 42. (Newly Added) A fuel cell comprising a gas diffusion substrate 2 as claimed in claim 9.
- 1 43. (Newly Added) A fuel cell comprising a gas diffusion substrate 2 as claimed in claim 10.
- 1 44. (Newly Added) A fuel cell comprising a gas diffusion substrate 2 as claimed in claim 11.
- 1 45. (Newly Added) A fuel cell comprising a gas diffusion substrate 2 as claimed in claim 12.
- 1 46. (Newly Added) A fuel cell comprising a gas diffusion substrate 2 as claimed in claim 13.
- 1 47. (Newly Added) A fuel cell comprising a gas diffusion electrode 2 as claimed in claim 16.
- 1 48. (Newly Added) A fuel cell comprising a gas diffusion electrode 2 as claimed in claim 17.
- 1 49. (Newly Added) A fuel cell comprising a gas diffusion electrode 2 as claimed in claim 18.
- 1 50. (Newly Added) A fuel cell comprising a membrane electrode 2 assembly as claimed in claim 20.
- 1 51. (Newly Added) A fuel cell comprising a membrane electrode assembly as claimed in claim 21.
- 1 52. (Newly Added) A fuel cell comprising a membrane electrode assembly as claimed in claim 22.

Respectfully submitted,

**RATNER & PRESTIA** 

Andrew L. Ney, Reg. No.

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Attorney for Applicants

ALN/imc

Attachment: Version with Markings to Show Changes Made

Dated: December 17, 2001

Suite 301 One Westlakes, Berwyn P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

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I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Kathleen Libby

## VERSION WITH MARKINGS TO SHOW CHANGES MADE

#### IN THE CLAIMS:

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Claims 26-52 have been added.

- 1 (Amended) A gas diffusion substrate according to claim 1-or 2 claims 2 wherein the first catalyst is one or more noble metals or non-noble 3 metals or a combination thereof.
- (Amended) A gas diffusion substrate according to any 1 preceding-claim 1 wherein the first catalyst is one or more metals selected 2 from the group consisting of platinum, palladium, ruthenium, rhodium, 3 gold, chromium, molybdenum, nickel and manganese or a combination 4 thereof. 5
- 5. (Amended) A gas diffusion substrate according to any 1 preceding-claim 1 wherein the electrically non-conducting support is an 2 3 oxidic support.
- (Amended) A gas diffusion substrate according to any 1 preceding-claim 1 wherein the porous structure is a woven or non-woven 2 fibrous material. 3
- 8. (Amended) A gas diffusion substrate according to any one of claims 1 to 6, wherein the porous structure is formed from a polymer. 2
  - 9. (Amended) A gas diffusion substrate according to any one of claims 1 to 6, wherein the porous structure is a metal mesh.
- 1 10. (Amended) A gas diffusion substrate according to any one of claims 7, 8 and 9 wherein the porous structure further comprises a filler 2 3 material.

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1	11. (Amended) A gas diffusion substrate according to any
2	preceding claim 1 wherein the first catalytic component is embedded within
3	the porous structure.
1	12 (Amounded) A - 1100 1
1 2	12. (Amended) A gas diffusion substrate according to any one of
3	claims 1 to 10-wherein the first catalytic component is applied to the porous
3	structure as a surface layer.
1	14. (Amended) A process for the preparation of a gas diffusion
2	substrate according to any preceding claim 1, said process comprising in-
3	filling a pre-formed porous structure with the first catalytic component or
4	applying the first catalytic component to the surface of a pre-formed porous
5	structure.
1	15. (Amended) A gas diffusion electrode comprising an electrically
2	conducting gas diffusion substrate as claimed in any one of claims 1 to 13
3	and a second catalytic component.
1	17. (Amended) A gas diffusion electrode according to claim 15 or
2	claim 16-wherein the second catalytic component is a precious metal or a
3	transition metal as the metal or metal oxide, either unsupported or supported
4	in a dispersed form on a carbon support; a carbon or an organic complex, in
5	the form of a high surface area finely divided powder or fibre, or a
6	combination of thereof.
	<b>†∙</b>
1	18. (Amended) A gas diffusion electrode according to claim 17,
2	wherein the second catalytic component is a platinum/ruthenium alloy
3	supported on carbon black or platinum supported on carbon black.
ı	19. (Amended) A membrane electrode assembly comprising a gos
2	( assembly comprising a gas
3	diffusion electrode as claimed in any one claims 15, to 18, a second gas diffusion electrode, and a solid polymer membrane.
-	amadion electrode, and a solid polymer memorane.

20. (Amended) A membrane electrode assembly comprising a gas diffusion electrode as claimed in any one of claims 15, to 18, a gas diffusion

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- substrate and a solid polymer membrane, wherein an electrocatalyst layer is applied to the side of the membrane facing the gas diffusion substrate.
- 1 21. (Amended) A membrane electrode assembly comprising a gas 2 diffusion substrate as claimed in any one of the claims 1, to 13, a gas 3 diffusion electrode and a solid polymer membrane, wherein an 4 electrocatalyst layer is applied to the side of the membrane facing the gas 5 diffusion substrate.
  - 22. (Amended) A membrane electrode assembly comprising a gas diffusion substrate as claimed in any one of claims 1, to 13, a second gas diffusion substrate, and a solid polymer membrane, wherein an electrocatalyst layer is applied to both sides of the solid polymer membrane.
- 1 23. (Amended) A fuel cell comprising a gas diffusion substrate as claimed in any one of claims 1. to 13.
- 24. (Amended) A fuel cell comprising a gas diffusion electrode as claimed in any one of claims 15. to 18.
  - 25. (Amended) A fuel cell comprising a membrane electrode assembly as claimed in any one of claims 19. to 22.

WO 00/79628 PCT

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## GAS DIFFUSION SUBSTRATE AND ELECTRODE

The present invention relates to an improved electrically conducting gas diffusion substrate and an electrode comprising said substrate, suitable for use in particular in proton exchange membrane fuel cells. The invention further relates to a membrane electrode assembly and fuel cell comprising said substrate or electrode.

Electrochemical cells invariably comprise at their fundamental level a solid or liquid electrolyte and two electrodes, the anode and cathode, at which the desired electrochemical reactions take place. A fuel cell is an energy conversion device that efficiently converts the stored energy of its fuel into electrical energy by combining hydrogen, stored as a gas, or methanol, stored as a liquid or gas, with oxygen to generate electrical power. The hydrogen or methanol is oxidised at the anode and oxygen reduced at the cathode. In these cells gaseous reactants and/or products have to be diffused into and/or out of the cell electrode structures. The electrodes therefore are specifically designed to be porous to gas diffusion in order to optimise the contact between the reactants and the reaction sites in the electrode to maximise the reaction rate. An electrolyte is required which is in contact with both electrodes and which may be alkaline or acidic, liquid or solid. In a solid polymer fuel cell (SPFC), also known as a proton-exchange membrane fuel cell (PEMFC), the electrolyte is a solid protonconducting polymer membrane, commonly based on perfluorosulphonic acid materials. These electrolytes must be maintained in a hydrated form during operation in order to prevent loss of ionic conduction through the electrolyte; this limits the operating temperature of the PEMFC to between 70°C and 120°C, depending on the operating pressure. The PEMFC does, however, provide much higher power density output than the other fuel cell types, and can operate efficiently at much lower temperatures. Because of this, it is envisaged that the PEMFC will find use in vehicular power generation and small-scale residential power generation applications. In particular, vehicle zero-emission regulations have been passed in areas of the United States that are likely to restrict the use of the combustion engine in the future. Pre-commercial PEMFC-powered buses and prototype PEMFC-powered vehicles are now being demonstrated for these applications.

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Due to the relatively low operating temperatures of these systems, the oxidation and reduction reactions require the use of catalysts in order to proceed at useful rates. Catalysts, which promote the rates of electrochemical reactions, such as oxygen reduction and hydrogen oxidation in a fuel cell, are often referred to as electrocatalysts. Precious metals, in particular platinum, have been found to be the most efficient and stable electrocatalysts for all low-temperature fuel cells operating below 300°C. The platinum electrocatalyst is provided as very small particles (~20-50Å) of high surface area, which are often, but not always, distributed on and supported by larger macroscopic conducting carbon particles to provide a desired catalyst loading. Conducting carbons are the preferred materials to support the catalyst.

In the PEMFC the combined laminate structure formed from the membrane and the two electrodes is known as a membrane electrode assembly (MEA). The MEA will typically comprise several layers, but can in general be considered, at its basic level, to have five layers, which are defined principally by their function. On either side of the membrane an anode and cathode electrocatalyst is incorporated to increase the rates of the desired electrode reactions. In contact with the electrocatalyst containing layers, on the opposite face to that in contact with the membrane, are the anode and cathode gas diffusion substrate layers. The anode gas diffusion substrate is designed to be porous and to allow the reactant hydrogen or methanol to enter from the face of the substrate exposed to the reactant fuel supply, and then to diffuse through the thickness of the substrate to the layer which contains the electrocatalyst, usually platinum metal based, to maximise the electrochemical oxidation of hydrogen or methanol. The anode electrocatalyst layer is also designed to comprise some level of the proton conducting electrolyte in contact with the same electrocatalyst reaction sites. With acidic electrolyte types the product of the anode reaction are protons and these can then be efficiently transported from the anode reaction sites through the electrolyte to the cathode layers. The cathode gas diffusion substrate is also designed to be porous and to allow oxygen or air to enter the substrate and diffuse through to the electrocatalyst layer reaction sites. The cathode electrocatalyst combines the protons

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with oxygen to produce water. Product water then has to diffuse out of the cathode structure. The structure of the cathode has to be designed such that it enables the efficient removal of the product water. If water builds up in the cathode, it becomes more difficult for the reactant oxygen to diffuse to the reaction sites, and thus the performance of the fuel cell decreases. In the case of methanol fuelled PEMFCs, additional water is present due to the water contained in the methanol, which can be transported through the membrane from the anode to the cathode side. The increased quantity of water at the cathode requires removal. However, it is also the case with proton exchange membrane electrolytes, that if too much water is removed from the cathode structure, the membrane can dry out and the performance of the fuel cell also decreases.

The complete MEA can be constructed by several methods. The electrocatalyst layers can be bonded to one surface of the gas diffusion substrates to form what is known as a gas diffusion electrode. The MEA is then formed by combining two gas diffusion electrodes with the solid proton-conducting membrane. Alternatively, the MEA may be formed from two porous gas diffusion substrates and a solid proton-conducting polymer membrane catalysed on both sides; or indeed the MEA may be formed from one gas diffusion electrode and one gas diffusion substrate and a solid proton-conducting polymer catalysed on the side facing the gas diffusion substrate.

Gas diffusion substrates or electrodes are employed in many different electrochemical devices in addition to fuel cells, including metal-air batteries, electrochemical gas sensors, and electrochemical reactors for the electrosynthesis of useful chemical compounds.

In most practical fuel cell systems, the hydrogen fuel is produced by converting a hydrocarbon-based fuel (such as methane) or an oxygenated hydrocarbon fuel (such as methanol) to hydrogen in a process known as reforming. This fuel, referred to as reformate, contains (in addition to hydrogen) high levels of carbon dioxide (CO<sub>2</sub>), of around 25%, and small amounts of impurities such as carbon monoxide (CO), typically

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at levels of around 1%. For fuel cells operating at temperatures below 200°C, and especially for the PEMFC operating at temperatures around 100°C, it is well known that CO, even at levels of 1-10ppm, is a severe poison for the platinum electrocatalysts present in the electrodes. This leads to a significant reduction in fuel cell performance, i.e. the cell voltage at a given current density is reduced. This deleterious effect is more pronounced in PEMFCs operating at lower temperatures.

Various methods have been employed to alleviate anode CO poisoning. For example, reformer technology has been redesigned to include an additional catalytic reactor, known as a preferential or selective oxidation reactor. This involves the injection of air or oxygen into the hydrogen-containing reactant gas stream, prior to it passing over a selective oxidation catalyst, to oxidise the CO to CO<sub>2</sub>. This can reduce the levels of CO from 1-2% down to below 100ppm. However, even at these levels, the anode electrocatalyst in the PEMFC is still poisoned.

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A further method to alleviate CO poisoning is to use an electrocatalyst that itself has a degree of tolerance to CO, for example Pt/Ru as described by Niedrach et al in Electrochem. Technol., 5, 318, (1967). However, again, it is not possible to fully attain the performance observed when using pure hydrogen i.e. in the absence of CO in the fuel stream, using this approach in isolation.

It has also been found that poisoning of the electrocatalyst by CO at levels of 1-100ppm can be reduced by the use of an oxygen or air bleed directly into the anode gas stream just before it enters the anode chamber of the fuel cell itself. This is described by Gottesfeld and Pafford in J. Electrochem. Soc., 135, 2651 et seq (1988). This technique is believed to have the effect of oxidising the residual CO in the fuel to CO<sub>2</sub>, the reaction being catalysed by electrocatalyst sites present in the anode:

$$CO + \frac{1}{2}O_2 \rightarrow CO_2$$

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This technique provides fuel cell performance that is much closer to the performance observed when no CO is present in the fuel stream.

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An improved structure in combination with the use of an air bleed is to add to the substrate a second catalyst (a "gas phase catalyst") that will oxidise CO in the presence of hydrogen, for example as described in EP-A- 0 736 921. The use of the additional gas phase catalyst gives improved performance of the electrode over the corresponding electrode without a gas phase catalyst. However, if the gas phase catalyst is supported on a conducting carbon support as described in EP-A-0 736 921, it may not be possible to isolate the gas phase catalyst from the overall electrode potential. The presence of oxygen on the gas phase catalyst particles will induce the gas phase catalyst potential to tend towards a more oxidising, i.e. higher, potential. Overall the electrode could then exhibit a mixed cell potential higher than the hydrogen oxidation potential, leading to a loss of electrode efficiency. Likewise, the electronic conductivity can also lead to a pinning of the potential of the selective oxidation catalyst to the low potential of the anode, which is close to the hydrogen oxidation potential. This will affect the ability of the selective oxidation catalyst to oxidise CO even in the presence of oxygen.

The use of a gas phase catalyst still requires the presence of an air bleed and there are concerns over the long-term sustainability of the cell performance when this approach is employed. This is particularly the case if high levels of air bleed, equivalent to 4% and above of the total reformate fuel volume, are required.

The object of the present invention is to provide an electrically conducting gas diffusion substrate and electrode capable of removing oxidisable impurities from a gas stream, in which the gas phase catalyst is unable to participate in the electrochemical reaction. This allows optimum performance for both the gas phase catalyst and the electrocatalyst in the electrode because catalyst potentials are then independent of each other. Thus, either a lower level of air bleed is required to give the same performance, or using conventional levels of air bleed, a higher performance is obtained.

Accordingly, the present invention provides an electrically conducting gas diffusion substrate comprising an electrically conducting porous structure and a first

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catalytic component, characterised in that the first catalytic component comprises a first catalyst supported on an electrically non-conducting support.

The electrically conducting porous structure may be a woven or non-woven fibrous material. For example, the porous structure may be a rigid carbon fibre paper, such as the TGP Series produced by Toray Industries; a woven carbon cloth, such as PWB-3 produced by Zoltek; or a non-woven carbon fibre structure, such as Optimat 203 produced by Technical Fibre Products. Alternatively, the porous structure may be formed from a polymer; for example an expanded polymer mesh as described in WO98/27606. Alternatively, the porous structure may be a metal mesh, for example of nickel or steel. The electrical conductivity of the porous structure may be improved by the addition of a filler material. Suitably, the filler material comprises a particulate carbon and a polymer, the carbon suitably being in the form of a powder. The carbon powder may be any of the materials generally designated as carbon black such as acetylene blacks, furnace blacks, pitch coke based powders and graphitised versions of such materials. Suitably also both natural and synthetic graphites may be used in this application. Such materials may be used either alone or in combination. The particulate carbon, or carbons, in the filler are held together by one or more polymers. The polymeric materials used will contribute to the essential electrode structural properties such as pore size distribution, hydrophobic/hydrophilic balance and physical strength of the gas diffusion layer. Examples of such polymers include polytetrafluoroethylene (PTFE), fluorinated ethylene-propylene (FEP), polyvinylidene difluoride (PVDF), Viton A, polyethylene, polypropylene, ethylene-propylene. The preferred final polymer is PTFE or FEP. The filler material is suitably embedded within the porous structure.

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Suitably, the first catalyst is a gas-phase catalyst designed to remove contaminant gases in the fuel or oxidant feed streams, such as for example carbon monoxide in the hydrogen fuel when this is supplied from a reformer. Suitably, the first catalyst may be a noble metal, for example platinum, palladium, ruthenium, rhodium or gold, or a non-noble metal, for example chromium, molybdenum, nickel or manganese, or a combination of one or more noble metals and/or non-noble metals. Preferably, the first catalyst is a noble metal, for example rhodium. The first catalyst is supported on an

electrically non-conducting support, which is suitably an oxidic support such as alumina, silica, ceria, zirconia, an oxide of iron, e.g.  $Fe_2O_3$ , a manganese oxide, e.g.  $MnO_2$  or titania. The support is preferably ceria, iron oxide, titania or manganese dioxide if the catalyst is gold. Preferably, the support is alumina or silica.

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The first catalytic component may either be embedded within the porous structure or may be applied to the porous structure as a surface layer, the first catalytic component is suitably applied as a mixture with electrically conducting particles, for example carbon particles such as carbon black. If the first catalytic component is embedded within the porous structure, the first catalytic component may optionally be mixed with electrically conducting particles.

The electrically conducting gas diffusion substrate of the invention may be prepared by taking a pre-formed porous structure, with or without the addition of a filler material, and subsequently in-filling with the first catalytic component or by applying the first catalytic component as a surface layer. If the first catalytic component is embedded within the porous structure, the majority of the catalytic component will be forced into the structure of the porous structure, although a small quantity may remain on the surface. The first catalytic component may be embedded within the porous structure by conventional methods known in the art, for example as filtration, powder vacuum deposition, spray deposition, electrodeposition, extrusion, printing, rolling, K-bar, doctor blade methods, or thin-film casting. If the first catalytic component is applied to the surface of the electrically conducting porous structure, optionally mixed with electrically conducting particles, this too may be carried out using conventional methods known in the art, for example as filtration, powder vacuum deposition, spray deposition, electrodeposition, extrusion, printing, rolling, K-bar, doctor blade methods, or thin-film casting.

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Alternatively, in the case of a non-woven fibre structure being used, the gas diffusion substrate may be prepared in a single step process by adapting a continuous manufacturing technique, for example paper making, or extrusion or pultrusion.

A second aspect of the present invention provides a gas diffusion electrode comprising an electrically conducting gas diffusion substrate as hereinbefore defined and a second catalytic component.

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Preferably, the second catalytic component comprises an electrocatalyst which facilitates the electrochemical reaction, and may be, for example, a precious metal or a transition metal as the metal or metal oxide, either unsupported or supported in a dispersed form on a carbon support; an organic complex, in the form of a high surface area finely divided powder or fibre; or a combination of these options. Most suitably, the second catalytic component is a platinum/ruthenium alloy supported on carbon black or platinum supported on carbon black.

When functioning as a gas diffusion electrode, the first catalytic component is unable to partake in the electrochemical reaction since the first catalyst is supported on an electrically non-conducting support, and thus no mixed cell potential is obtained.

The electrode of the invention may be used either as the anode or the cathode; suitably it is used as the anode and the first catalytic component is selected to remove CO from an impure hydrogen feed. If used as a cathode, the first catalytic component is selected to remove any oxidisable impurities, whether airborne or unreacted fuels crossing over from the anode.

The second catalytic component may either be applied to the gas diffusion substrate as a separate layer, the first catalytic component either being embedded within the porous structure or applied as a surface layer. Alternatively, the second catalytic component may be applied to the porous structure as a surface layer mixed with the first catalytic component. If the first and second catalytic components are mixed prior to application to the porous substrate, there may or may not be an additional electrically conducting substance included in the mixed layer, since this property will be provided by second catalytic component.

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The second catalytic component, whether applied separately or mixed with the first catalytic component may be applied to the gas diffusion substrate or porous structure by conventional methods known in the art, for example by filtration, powder vacuum deposition, spray deposition, electrodeposition, extrusion, printing, rolling, K-bar, doctor blade methods, or thin-film casting.

A third aspect of the invention provides a membrane electrode assembly comprising a gas diffusion electrode of the invention as hereinbefore defined and a second gas diffusion electrode which may or may not be an electrode according to the invention, and a solid polymer membrane, for example Nafion®. Alternatively, the invention provides a membrane electrode assembly comprising a gas diffusion electrode of the invention as hereinbefore defined, a gas diffusion substrate which may or may not be a substrate according to the invention and a solid polymer membrane, for example Nafion<sup>®</sup>, wherein an electrocatalyst layer is applied to the side of the membrane facing the gas diffusion substrate. Alternatively, the invention provides a membrane electrode assembly comprising a gas diffusion substrate of the invention as hereinbefore defined, a gas diffusion electrode which may or may not be an electrode according to the invention and a solid polymer membrane, for example Nafion®, wherein an electrocatalyst layer is applied to the side of the membrane facing the gas diffusion substrate. Alternatively, the invention provides a membrane electrode assembly comprising a gas diffusion substrate of the invention as hereinbefore defined and a second gas diffusion substrate which may or may not be a substrate according to the invention, and a solid polymer membrane, for example Nafion<sup>®</sup>, wherein an electrocatalyst layer is applied to both sides of the solid polymer membrane.

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A still further aspect of the invention provides a fuel cell comprising a gas diffusion substrate according to the present invention. A yet further aspect provides a fuel cell comprising a gas diffusion electrode according to the present invention. A yet further aspect provides a fuel cell comprising a membrane electrode assembly according to the present invention.

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The invention will now be further described with reference to the following examples.

#### COMPARATIVE EXAMPLE 1 - Pt/Shawinigan carbon

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A gas phase selective oxidation catalyst layer was prepared as described in Example 1 of EP-A-0 736 921 from a 20wt% Pt supported on Shawinigan Acetylene Black carbon catalyst, obtained from Johnson Matthey Inc, West Deptford, NJ, USA, to give a metal loading of 0.19 mgcm<sup>-2</sup> of Pt.

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# COMPARATIVE EXAMPLE 2 - Pt/Shawinigan carbon

A gas phase selective oxidation catalyst layer was prepared as described in Comparative Example 1 from a 20wt% Pt supported on Shawinigan Acetylene Black carbon catalyst at a metal loading of 0.33 mg cm<sup>-2</sup> of Pt.

#### EXAMPLE 1 - Rh/Alumina 524

A gas phase selective oxidation catalyst layer was prepared using a 5wt% Rh supported on alumina catalyst, which was obtained from Johnson Matthey Alfa (Type 524 alumina, nominal surface area 141m<sup>2</sup>g<sup>-1</sup>). A PTFE containing ink (35 wt% solids with respect to catalyst weight) was prepared from the rhodium catalyst by a method similar to that described in EP-A-0 736 921. A gas phase selective oxidation layer of the rhodium ink was applied to a side of a pre-teflonated Toray TPG90 paper to give a Rh loading of 0.12 mgcm<sup>-2</sup>.

#### EXAMPLE 2 - Rh/Alumina 526

A gas phase selective oxidation catalyst layer was prepared as described for Example 1 using a 5%Rh on alumina catalyst obtained from Johnson Matthey Alfa (Type 526 alumina, nominal surface area 150m<sup>2</sup>g<sup>-1</sup>), to give a Rh loading of 0.1 mgcm<sup>-2</sup>.

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# 11 EXAMPLE 3 – Rh/Alumina 526

A gas phase selective oxidation catalyst layer was prepared as described for Example 1 using a 5%Rh on alumina catalyst obtained from Johnson Matthey Alfa (Type 526, nominal surface area 150m<sup>2</sup>g<sup>-1</sup>), to give a Rh loading of 0.2 mgcm<sup>-2</sup>.

# **EXAMPLE 4- Rh/Alumina SCF-140**

A catalyst sample of nominal composition 5%Rh on SCF-140 Alumina (obtained from Condea Chemie GmbH, Hamburg) was prepared by a precipitation route. SCF140 alumina (14g) was slurried in demineralised water (150 cm³) and the pH adjusted to 7.5 by the addition of aqueous ammonia solution. The slurry was stirred and heated to 80°C. Rhodium nitrate solution (5g, Johnson Matthey Alfa, 14.2 wt% Rh) was diluted to 10 cm³ by the addition of water and added dropwise to the slurry, and the pH was maintained between 7 and 8 during the addition, by periodic additions of aqueous ammonia. The catalyst was dried at 100°C in air for 2 hrs, and reduced in flowing 10%H<sub>2</sub>/N<sub>2</sub> at 250°C for 2 hrs. A gas phase selective oxidation layer was prepared from the resulting catalyst as described for Example 1, to give a Rh loading of 0.2 mg cm².

#### **EXAMPLE 5- Rh/silica**

A gas phase selective oxidation layer was prepared as described for Example 1, using a 5%Rh on silica powder catalyst obtained from Johnson Matthey Alfa, to give a Rh loading of 0.09 mg cm<sup>-2</sup>.

#### **EX-SITU SAMPLE EVALUATION**

The gas phase selective oxidation layers of Comparative Examples 1 and 2 and Examples 1-5 were tested for the removal of CO from a H<sub>2</sub> rich gas stream with the addition of an air bleed, in an experimental set-up which was similar to that of a fuel cell. This is termed ex-situ evaluation. The selective oxidation layers were fabricated

into small (6.45 cm²) MEAs using a bare piece of Toray TGP90 as a 'cathode' and either a piece of Nafion 115 or a piece of 0.1 mm thick photocopier transparency film, as the membrane. The MEAs were tested in a small fuel cell, with the selective oxidation electrode run as the anode. A humidified fuel stream of 100ppm CO in H<sub>2</sub> was used at a gas flow of 0.2 SLM at a pressure of 30 psi. Humidified N<sub>2</sub> at similar flow rates and pressures was used as a 'cathode' stream. The effectiveness of the selective oxidation electrode was assessed by introducing different levels of air bleed into the fuel stream and monitoring the CO level in the output gas stream using a Signal 2000 low flow CO analyser. No electrical load was applied to the electrodes, but the test set up otherwise mimicked the conditions of temperature, humidity and flow rates present within a fuel cell.

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Table 1 shows the CO levels found in the output gas stream when different levels of air bleed are applied, in each case readings were taken after 30 mins at steady state.

TABLE 1

Example	Catalyst	metal loading (mg/cm²)	CO level	after 30mins state/ppm	at steady
			1% Air Bleed	2% Air Bleed	5% Air Bleed
Example 1	5%Rh/Alumina 524	0.12	12	6	6
Example 2	5%Rh/Alumina 526	0.1	72	2,5	2
Example 3	5%Rh/Alumina 526	0.2	40	11	2
Example 4	5%Rh/SCF-140 Alumina	0.22	10	7	6
Example 5	5%Rh/Silica	0.2	75	30	12
Comparative Example 1	20%Pt/Shawinigan Carbon	0.19	83	74	4
Comparative Example 2	20%Pt/Shawinigan Carbon	0.33	75	12	4

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All of the catalysts on non-conducting supports show superior air bleed response to the Pt/carbon supported catalyst at equivalent or lower metal loadings. This ex-situ testing identified Example 1 as the most promising candidate for fuel cell testing. Therefore, a fuel cell anode using this gas phase selective oxidation layer was prepared and tested for fuel cell performance as described below.

### **COMPARATIVE EXAMPLE 3 – Pt(Pt/Ru)**

A fuel cell anode of 240 cm<sup>2</sup> active geometric area was prepared as described in Example 1 of EP-A-0 736 921 with a gas phase catalyst layer prepared from 20wt%Pt catalyst supported on Shawinigan carbon as described in Comparative Example 1, to give a platinum loading of 0.2 mgcm<sup>-2</sup> of Pt, and a platinum/ruthenium electrocatalyst with metal loadings of 0.27 mgcm<sup>-2</sup> of Pt and 0.14 mgcm<sup>-2</sup> of Ru.

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#### EXAMPLE 6 - (Rh/Alumina)/(Pt/Ru)

A fuel cell anode of 240 cm<sup>2</sup> active geometric area was prepared as described in Example 1 of EP-A-0 736 921 with a gas phase catalyst layer prepared from the 5wt% Rh catalyst supported on Type 524 alumina as described in Example 1. A layer of the rhodium containing catalyst ink was applied to one side of a pre-teflonated Toray TPG90 paper to give a Rh loading of 0.12 mgcm<sup>-2</sup>, such that the rhodium ink was embedded within the Toray paper, thus enabling electronic conductivity through the substrate. The electrocatalyst ink was prepared comprising a PtRu alloy catalyst at nominal loadings of 20 wt%Pt and 10 wt% Ru loading supported on Cabot Vulcan XC72R carbon by a similar method to that described in Comparative Example 3. The electrocatalyst ink was applied to the other side of the Toray paper substrate at a loading of 0.29 mgcm<sup>-2</sup> of Pt and 0.15 mgcm<sup>-2</sup> of Ru.

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# 14 FUEL CELL EVALUATION

From the anodes prepared as detailed in Comparative Example 3 and Example 6, two membrane electrode assemblies were prepared using Nafion 115 membranes and conventional cathodes with nominal Pt loadings of 0.75 mgcm<sup>-2</sup>. The MEA was prepared by hot-pressing the membrane between the anode and the cathode. Samples were then conditioned in single cells overnight with pure hydrogen and air as the fuel and oxidant respectively. The performance with a fuel stream of 100 ppm CO in hydrogen was then assessed. Figures 1 and 2 show the performance data for the MEAs of Example 6 and Comparative Example 3 respectively. Initially, the performance on pure hydrogen and air (at the cathode) is recorded. After several minutes, the anode gas stream is switched to 100ppm CO in hydrogen which causes a degradation of the cell voltage by approximately 220 mV for Example 6 and 200 mV for Comparative Example 3. After the performance has stabilised, air bleeds of increasing levels, starting at 1%, are applied. Example 6 shows slow recovery of the performance loss but almost perfect recovery at 2% air bleed. Comparative Example 3 exhibits a performance still 80 mV lower than that with pure hydrogen at air bleed levels of up to 2%. Only at 5% air bleed level near-complete recovery is observed.

It has been shown that the presence of a non-conducting selective oxidation catalyst shows superior performance to a conventional carbon supported Pt based selective oxidation catalyst when operating in a fuel cell with a fuel stream containing CO in H<sub>2</sub> and air bleed.

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#### **CLAIMS**

- 1. An electrically conducting gas diffusion substrate comprising an electrically conducting porous structure and a first catalytic component, characterised in that the first catalytic component comprises a first catalyst supported on an electrically non-conducting support.
  - 2. A gas diffusion substrate according to claim 1 wherein the first catalyst is a gas-phase catalyst.
- 3. A gas diffusion substrate according to claim 1 or claims 2 wherein the first catalyst is one or more noble metals or non-noble metals or a combination thereof.
- 4. A gas diffusion substrate according to any preceding claim wherein the first catalyst is one or more metals selected from the group consisting of platinum, palladium, ruthenium, rhodium, gold, chromium, molybdenum, nickel and manganese or a combination thereof.
- 5. A gas diffusion substrate according to any preceding claim wherein the electrically non-conducting support is an oxidic support.
  - 6. A gas diffusion substrate according to claim 5 wherein the oxidic support is alumina, silica, ceria, zirconia, an oxide of iron, a manganese oxide or titania.
- 7. A gas diffusion substrate according to any preceding claim, wherein the porous structure is a woven or non-woven fibrous material.
  - 8. A gas diffusion substrate according to any one of claims 1 to 6, wherein the porous structure is formed from a polymer.
  - 9. A gas diffusion substrate according to any one of claims 1 to 6, wherein the porous structure is a metal mesh.

- 10. A gas diffusion substrate according to any one of claims 7, 8 and 9 wherein the porous structure further comprises a filler material.
- 11. A gas diffusion substrate according to any preceding claim wherein the first5 catalytic component is embedded within the porous structure.
  - 12. A gas diffusion substrate according to any one of claims 1 to 10 wherein the first catalytic component is applied to the porous structure as a surface layer.
- 10 13. A gas diffusion substrate according to claim 12 wherein the first catalytic component is mixed with electrically conducting particles.
- 14. A process for the preparation of a gas diffusion substrate according to any preceding claim, said process comprising in-filling a pre-formed porous structure with the first catalytic component or applying the first catalytic component to the surface of a pre-formed porous structure.
  - 15. A gas diffusion electrode comprising an electrically conducting gas diffusion substrate as claimed in any one of claims 1 to 13 and a second catalytic component.
  - 16. A gas diffusion electrode according to claim 15 wherein the second catalytic component comprises an electrocatalyst.
- 17. A gas diffusion electrode according to claim 15 or claim 16 wherein the second catalytic component is a precious metal or a transition metal as the metal or metal oxide, either unsupported or supported in a dispersed form on a carbon support; a carbon or an organic complex, in the form of a high surface area finely divided powder or fibre, or a combination of thereof.
- 30 18. A gas diffusion electrode according to claim 17, wherein the second catalytic component is a platinum/ruthenium alloy supported on carbon black or platinum supported on carbon black.

19. A membrane electrode assembly comprising a gas diffusion electrode as claimed in any one of claims 15 to 18, a second gas diffusion electrode, and a solid polymer membrane.

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20. A membrane electrode assembly comprising a gas diffusion electrode as claimed in any one of claims 15 to 18, a gas diffusion substrate and a solid polymer membrane, wherein an electrocatalyst layer is applied to the side of the membrane facing the gas diffusion substrate.

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21. A membrane electrode assembly comprising a gas diffusion substrate as claimed in any one of claims 1 to 13, a gas diffusion electrode and a solid polymer membrane, wherein an electrocatalyst layer is applied to the side of the membrane facing the gas diffusion substrate.

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22. A membrane electrode assembly comprising a gas diffusion substrate as claimed in any one of claims 1 to 13, a second gas diffusion substrate, and a solid polymer membrane, wherein an electrocatalyst layer is applied to both sides of the solid polymer membrane.

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- 23. A fuel cell comprising a gas diffusion substrate as claimed in any one of claims 1 to 13.
- 24. A fuel cell comprising a gas diffusion electrode as claimed in any one of claims25 15 to 18.
  - 25. A fuel cell comprising a membrane electrode assembly as claimed in any one of claims 19 to 22.





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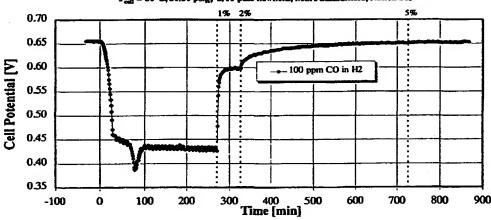
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(54) Title: GAS DIFFUSION SUBSTRATE AND ELECTRODE

#### MEA 8b1 - Air bleed Response = 80°C, 30/30 psig, 2/10 pass flowfield, MSA bumidifiers, Nafion 115



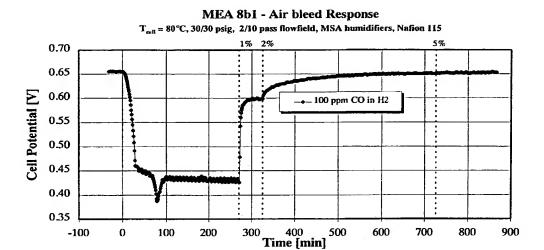
(57) Abstract: An electrically conducting gas diffusion substrate, capable of removing oxidisable impurities from an impure gas stream, which comprises an electrically conducting porous structure and a first catalytic component, wherein the first catalytic component comprises a first catalyst supported on an electrically non-conducting support is disclosed. In addition, an electrode, a membrane electrode assembly and a fuel cell each comprising said electrically conducting gas diffusion substrate is disclosed.



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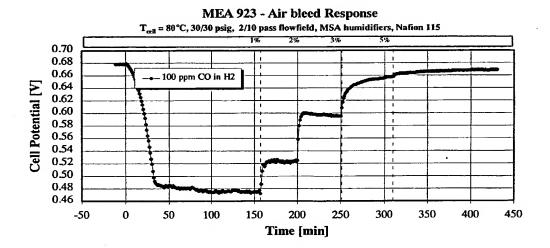
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Figure 1



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### Figure 2



# Declaration and Power of Attorney For Patent Application English Language Declaration

As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original. first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled GAS DIFFUSION SUBSTRATE AND ELECTRODE: the specification of which is attached hereto unless the following box is checked: was filed on December 17, 2001 as United States Application Number or PCT International Application Number 10/018,607 and was amended by Preliminary Amendment on December 17, 2001. I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56. I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed: Prior Foreign Application(s) Priority Not Claimed 9914023.8 Great Britain June 17, 1999 (Number) (Country) (Day/Month/Year Filed) (Number) (Day/Month/Year Filed) (Country) I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below. (Application Number) (Filing Date) (Application Number) (Filing Date) I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §

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